

used), as were the equilibrium constants. The latter could be calculated from single measurements, or graphically, after obtaining several points by stepwise addition of Ellman's reagent. The good straight line obtained in the graphical method indicated the validity of the assumption that symmetrical disulfide formation was negligible. Addition of snake venom phosphodiesterase (5  $\mu$ l) to the mixtures of thymidine 5'-O-thiophosphate or adenosine 5'-O-thiophosphate and the reagent resulted in the production of 2 equiv of 2-nitro-5-thiobenzoic acid. When thiophosphoric acid was substituted for an analog in the above procedure, 2 equiv of colored anion were produced in a reaction which involved two steps, as shown by the kinetic data, the first step being significantly faster than the second. Extrapolation of the line for the second step back to zero time indicated that in each step 1 equiv of anion was released.

In the case of uridine 3'(2')-O-thiophosphate, the characteristic pattern of reaching equilibrium after a few minutes was not observed. Instead, the absorbance at 412 m $\mu$  increased steadily until 2 equiv of the anion had been liberated. To investigate the products from this reaction, Ellman's reagent (150  $\mu$ l, 10 mg/2 ml) was added to uridine 3'(2')-O-thiophosphate (4.7  $A_{260}$  units) in 300  $\mu$ l of 0.1 M Tris-HCl buffer (pH 7.4) and the mixture was left overnight. Paper chromatography in system A then showed complete conversion to uridine 2',3'-O,O-cyclic phosphate.

**Reaction of Adenosine 5'-O-Thiophosphate with Snake Venom Phosphodiesterase.** (1) Adenosine 5'-O-thiophosphate (2  $A_{260}$  units) was dissolved in 0.1 M Tris-HCl (pH 7.6), and the light absorbance at 260 m $\mu$  was measured. Adenosine deaminase (3  $\mu$ l), alkaline phosphatase (10  $\mu$ l), and snake venom phosphodiesterase (5  $\mu$ l) were added. The absorbance at 265 m $\mu$  was unchanged after 30 min, indicating that reaction was very slow under these conditions. Addition of Ellman's reagent (25  $\mu$ l of a 11.8 mM solution) resulted in liberation of 2 equiv of 2-nitro-5-thiobenzoic acid/equiv of analog within 10 min.

(2) Adenosine 5'-O-thiophosphate (10  $A_{260}$  units) was incubated overnight at 37° with snake venom phosphodiesterase (10  $\mu$ l) in 100  $\mu$ l of 0.1 M Tris-HCl buffer (pH 7.4). Paper chromatography in system A indicated that no adenosine had been formed. Alkaline phosphatase (10  $\mu$ l) was then added, and the mixture was incubated for a further 2 hr at 37°. Paper chromatography in system A showed the presence of adenosine and adenosine 5'-O-thiophosphate in the ratio 6:4, indicating 60% desulfurization by the phosphodiesterase.

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## Calculation of the Rotational Strengths of Mononucleosides<sup>1</sup>

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**Abstract:** The rotational strengths of the two longer wavelength transitions,  $B_{2T}$  and  $B_{1T}$ , of four mononucleosides (adenosine, guanosine, uridine, and cytidine) as a function of the glycosidic rotational angles have been investigated theoretically. The transition in each base is characterized by transition monopoles; the sugar is treated as a sum of bond polarizabilities. The interaction among these polarizabilities is also considered. Rotational strengths were calculated using three different sets of transition monopoles and many combinations of bond polarizabilities. We conclude that adenosine, uridine, and cytidine may have primarily one conformation, but that in guanosine the base is not definitely fixed with respect to the ribose. Calculation on different anomeric nucleosides of adenosine and uridine shows that the configuration at the anomeric carbon C-1' determines the sign of the optical rotation. The configuration at C-2' influences the glycosidic angular dependence of rotational strength more profoundly than that at C-3' and C-5'. These results are in good agreement with experiments. The signs and magnitude of the calculated rotational strengths are in good agreement with experiment for the anti conformation of all the isomers of adenosine. As the conformation of the nucleosides in B-form DNA is quite different from the anti form, we calculate that the rotational strengths of the nucleosides in the polynucleotide are very different from those in solution.

Many workers have measured the circular dichroism (CD) and optical rotatory dispersion (ORD) of polynucleotides, and have shown that optical activity is an important tool for conformational assignments. Theories have been developed to facilitate the interpretation of the spectra of polynucleotides.<sup>3,4</sup> However, in these theories, the CD and ORD of the monomer units themselves have been ignored. Recently, experimental and theoretical studies of the optical activity and conformation of nucleosides have appeared.<sup>5-7</sup> In

particular, an extended series of articles by Miles *et al.*,<sup>8</sup> have investigated this problem in detail.

In the present paper we have used an improved version of Kirkwood polarizability theory to include the presence of a classical polarizability near a quantum system. The rotational strengths of mononucleosides are calculated using transition monopoles on the bases interacting with polarizable bonds of the sugars. We try to examine the calculations critically. Three different sets of transition monopoles have been employed with various degrees of success. The effect of different values of bond polarizability and variation of the positions of furanosyl OH groups have also been examined. The calculated rotational strengths as a function of the glycosidic angle are in qualitative agreement

(1) Supported in part by research Grant No. GM 10840 from the National Institutes of Health and by the U. S. Atomic Energy Commission.

(2) University of California Predoctoral Fellowship.

(3) C. A. Bush and I. Tinoco, Jr., *J. Mol. Biol.*, **23**, 601 (1967).

(4) W. C. Johnson, Jr., and I. Tinoco, Jr., *Biopolymers*, **7**, 727 (1969).

(5) P. A. Hart and J. P. Davis, *J. Amer. Chem. Soc.*, **91**, 512 (1969).

(6) G. T. Rogers and T. L. V. Ulbricht, *Biochem. Biophys. Res. Commun.*, **39**, 2, 414 (1970).

(7) G. T. Rogers and T. L. V. Ulbricht, *ibid.*, **39**, 419 (1970).

(8) D. W. Miles, W. H. Inskeep, M. J. Robins, M. W. Winkley, R. K. Robins, and H. Eyring, *J. Amer. Chem. Soc.*, **92**, 3872 (1970), and references therein.

with calculations of Miles, *et al.*, using bond transition dipoles on the bases.

In the next section a detailed description of the theoretical method is given, in section II we discuss the numerical methods and the data used, and in section III we demonstrate the application of the theory to the four mononucleosides found in nucleic acids and to various anomeric isomers of adenosine and uridine.

## I. Theory

Rosenfeld<sup>9</sup> characterized the optical rotation by the rotational strength for the optical transition from O to A,  $R_{OA} = \text{Im}(\mathbf{u}_{OA} \cdot \mathbf{m}_{AO})$ .  $\text{Im}$  denotes the imaginary part of a complex number.  $\mathbf{u}_{OA}$  is the electric dipole transition moment vector and  $\mathbf{m}_{AO}$  is the magnetic dipole transition moment vector defined as follows

$$\mathbf{u}_{OA} = \sum_j \langle O | \mathbf{u}_j | A \rangle \quad (1)$$

$$\mathbf{m}_{AO} = \frac{e}{2mc} \sum_j \mathbf{R}_j \times \langle A | \mathbf{P}_j | O \rangle + \sum_j \langle A | \mathbf{m}_j' | O \rangle \quad (2)$$

where  $\mathbf{R}_j$  is the vector distance from an arbitrary origin to the origin of group  $j$ ;  $\mathbf{u}_j$  is the electric dipole moment operator of group  $j$ ;  $\mathbf{P}_j$  is the linear momentum operator of group  $j$ ;  $\mathbf{m}_j'$  is the magnetic moment operator of group  $j$  relative to the origin in group  $j$ . This origin is usually selected to minimize the contribution of  $\mathbf{m}_j'$  to rotational strength.  $c$ ,  $e$ , and  $m$  are the usual notations for the speed of light, electronic charge, and electronic mass. The molecular wave functions for states O and A are  $|O\rangle$  and  $|A\rangle$ . If we drop the magnetic dipole term which is expected to be small, the expression for rotational strength is

$$R_{OA} = (-\pi\nu_{OA}/2c) \sum_{i \neq j} \mathbf{R}_{ij} \cdot \langle O | \mathbf{u}_i | A \rangle \times \langle A | \mathbf{u}_j | O \rangle \quad (3)$$

where  $\nu_{OA}$  is the frequency of the transition and  $\mathbf{R}_{ij} = \mathbf{R}_j - \mathbf{R}_i$ .

It can be seen that the optical activity originates from the interaction between electric transition dipoles located asymmetrically with respect to one another. In eq 3 we have resolved  $R_{OA}$  into groups. The obvious separation into groups for mononucleosides would be to treat the base as the major chromophore and the furanose as an asymmetric substituent. The furanose is further subdivided into bonds. For a particular transition  $O \rightarrow A$  of the base, which is far removed in energy from any transitions in the furanose, it is a good approximation to replace the transition dipole from the bonds of the furanose by the dipole that would be induced in a classical polarizability placed within the transition field of the base.

$$\mathbf{u}_{jAO} = \alpha_j \cdot \mathbf{E}_j^{\text{eff}} \quad (4)$$

where  $\alpha_j$  is the polarizability tensor of the  $j$ th furanose bond and  $\mathbf{E}_j^{\text{eff}}$  is the effective field at the  $j$ th bond due to the transition  $O \rightarrow A$ .

To evaluate the effective field  $\mathbf{E}_j^{\text{eff}}$ , one expands the molecular wave functions in a linear combination of atomic orbitals

$$|O\rangle = \sum_s C_{Os} |s\rangle$$

$$|A\rangle = \sum_s C_{As} |s\rangle$$

(9) L. Rosenfeld, *Z. Phys.*, **52**, 161 (1928).

We obtain

$$\begin{aligned} \mathbf{E}_j^{\text{eff}} &= -\nabla_j \left\langle A \left| \frac{1}{r_j} \right| O \right\rangle \\ &= -\nabla_j \sum_{s,t} C_{As}^* C_{Ot} \left\langle s \left| \frac{1}{r_j} \right| t \right\rangle \end{aligned} \quad (5)$$

The standard point monopole approximation sets

$$\left\langle s \left| \frac{1}{r_j} \right| t \right\rangle = \delta_{st} \frac{1}{r_{sj}} \quad (6)$$

where  $\delta_{st}$  is the Kronecker  $\delta$ .

Alternatively, one may treat  $\Psi_O | \Psi_A$  as a charge distribution and do a multipole expansion ( $\sum_j \mathbf{E}_j^{\text{eff}}$ ) about the center of the charges. The practical limit in terms of computer programming on the CDC 6600 computer used for our calculations is the octupole term, and convergence is poor. We abandoned this method in favor of the use of eq 6. In the hope of increased accuracy we calculated the integrals  $\langle s | 1/r_i | s \rangle$  in terms of Slater  $2p\pi$  orbitals to obtain  $\mathbf{E}_j^{\text{eff}}$ . This was found to make a negligible correction to rotational strengths; we therefore used for a zero-order  $\mathbf{E}_j^{\text{eff}}$

$$\mathbf{E}_j^{\text{eff}} = \sum_s \rho_s \frac{\mathbf{r}_{js}}{|\mathbf{r}_{js}|^3} \quad (7)$$

where  $\rho_s = C_{As} C_{Os}$  is the monopole charge of atom  $s$  due to the base transition  $O \rightarrow A$ .  $\mathbf{r}_{js}$  is a position vector from the  $j$ th group to the  $s$ th monopole. By combining eq 3, 4, and 7, we have an expression for the rotational strength of transition  $O \rightarrow A$  in the base of a nucleoside. The base is represented by transition monopoles on each atom and the furanose is approximated by bond polarizabilities.

$$R_{OA} = \left( -\frac{\pi\nu_{OA}}{2c} \right) \sum_{i \neq j} \sum_s \rho_s \mathbf{R}_{ij} \cdot (\mathbf{u}_{iAO} \times \alpha_j \cdot \mathbf{r}_{js}) / |\mathbf{r}_{js}|^3 \quad (8)$$

where  $\mathbf{R}_{ij}$  is the distance from the base transition dipole ( $\mathbf{u}_{iAO}$ ) to bond  $j$  in the furanose. This is the most commonly used expression for calculating optical activity under conditions mentioned above. However, this expression has only considered the monopole field caused by the base and has ignored the interaction among the furanose bonds. This approximation may be good in situations where the asymmetric perturbation is an aliphatic chain.<sup>10</sup> But in the furanose ring, for the most part, the sugar bonds are much closer to each other than to the base, so each bond will feel the effect of the induced dipoles in all other bonds. To include this effect we replace  $\mathbf{E}_j^{\text{eff}}$  by a more complete expression

$$\mathbf{u}_{jAO} = \alpha_j \cdot \mathbf{E}_j^{\text{eff}} = \alpha_j \cdot \left[ \sum_s \rho_s \frac{\mathbf{r}_{js}}{|\mathbf{r}_{js}|^3} - \sum_k \mathbf{T}_{jk} \cdot \mathbf{u}_{kAO} \right] \quad (9)$$

where  $\mathbf{T}_{jk} = (\mathbf{1} - 3\mathbf{r}_{jk}\mathbf{r}_{jk}/r_{jk}^2)(1/r_{jk}^3)$ , the dipole interaction tensor, between points  $j$  and  $k$ .

These coupled linear equations can be solved for  $\mathbf{u}_{jAO}$ . Substituting eq 10 in 3, we have the final ex-

$$\mathbf{u}_{jAO} = \sum_k (\mathbf{1} + \alpha \mathbf{T})_{jk}^{-1} \cdot \alpha_k \cdot \sum_s \rho_s \frac{\mathbf{r}_{js}}{|\mathbf{r}_{js}|^3} \quad (10)$$

pression for the rotational strength with the furanose

(10) K. Philipson, S. Tsai, and K. Sauer, *J. Phys. Chem.*, **75**, 1440 (1971).

interaction treated self-consistently. For all calcula-

$$R_{OA} = \left( -\frac{\pi\nu_{OA}}{2c} \right) \sum_{i \neq j} \sum_k \sum_s \rho_s \mathbf{R}_{ij} \cdot \mathbf{u}_{iAO} \times (\mathbf{1} + \alpha \mathbf{T})_{jk}^{-1} \cdot \alpha_k \cdot \mathbf{r}_{ks} / |r_{ks}|^3 \quad (11)$$

tions  $R_{OA}$  was evaluated using transition monopoles only, as in eq 8, and also self-consistently including the sugar bond-bond induced dipole coupling as in eq 11.

There are other potential contributions to rotational strengths which we do not consider at this time. These include effects of magnetic dipole transition moments and effects of the static fields of the sugar. We think these terms are small, in agreement with the earlier workers,<sup>8</sup> but they should be investigated more thoroughly.

## II. Calculation

We consider each base to have two  $\pi \rightarrow \pi^*$  transitions with maxima between 240 and 280 m $\mu$ . The transition frequency  $\nu_{OA}$  is taken as the location of the major experimental uv absorption maximum.

**Coordinates.** The coordinates used in the computation are taken from Spencer<sup>11</sup> for the bases (Table I) and Sundaralingam and Jensen<sup>12</sup> for the 2'-endo

Table I.<sup>11</sup> Atomic Coordinates of Purine and Pyrimidine Bases

Atom	X, Å	Y, Å	Atom	X, Å	Y, Å
Adenine			Cytosine		
N-1	-2.791	4.407	N-1	0.000	1.470
C-2	-3.201	3.134	C-2	-1.207	2.139
N-3	-2.391	2.078	N-3	-1.231	3.489
C-4	-1.079	2.298	C-4	-0.070	4.132
C-5	-0.604	3.583	C-5	1.157	3.504
C-6	-1.500	4.633	C-6	1.181	2.125
N-7	0.763	3.598	O-2	-2.253	1.511
C-8	1.055	2.280	N-4	-0.094	5.472
N-9	0.000	1.470			
N-6	-1.041	5.892	Uracil		
N-1	-2.799	4.348	N-1	0.000	1.470
C-2	-3.205	3.051	C-2	-1.207	2.139
N-3	-2.378	2.010	N-3	-1.159	3.518
C-4	-1.079	2.298	C-4	0.010	4.251
C-5	-0.604	3.583	C-5	1.205	3.504
C-6	-1.462	4.702	C-6	1.181	2.125
N-7	0.763	3.598	O-2	-2.269	1.538
C-8	1.055	2.280	O-4	0.010	5.471
N-9	0.000	1.470			
N-2	-4.523	2.807			
O-6	-1.045	5.848			

ribose and 3'-endo ribose. The coordinates for the  $\alpha$  anomers were obtained by reflection from that of  $\beta$  nucleosides about the plane of C-1', C-2', and O' of the furanose. The numbering system and the nomenclature for the pentofuranoses are shown in Figure 1.

The conformation of the furanose has been the subject of debate.<sup>12-15</sup> We have used the 2'-endo conformation, consistent with the majority of crystal structures of nucleosides, for most of the calculations. The

(11) M. Spencer, *Acta Crystallogr.*, **12**, 59 (1969).

(12) M. Sundaralingam and L. H. Jensen, *J. Mol. Biol.*, **13**, 914 (1965).

(13) R. C. Davis, Ph.D. Thesis, University of California, Berkeley, 1967, p 128 ff.

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(15) A. E. V. Haschemeyer and A. Rich, *J. Mol. Biol.*, **27**, 369 (1967).

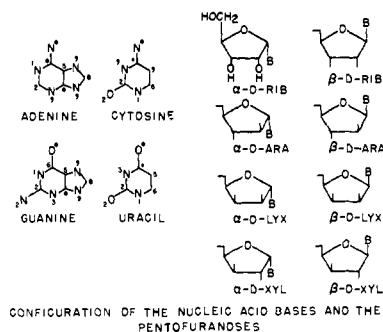


Figure 1. Nomenclatures and configurations of the nucleic acid bases and the pentofuranoses.

2'-endo ribose and 3'-endo deoxyribose coordinates are given in Table II. Coordinates of the other sugars were

Table II. Coordinates of 2'-Endo Ribose and 3'-Endo Deoxyribose<sup>12</sup>

Atoms	x	y	z
2'-Endo Ribose			
C-1	0.00	0.00	0.00
H-1	-0.51	-0.16	0.74
O-1	1.35	-0.43	0.00
C-2	-0.62	-0.58	-1.25
H-2	-0.26	0.08	-1.89
O-2	-2.02	-0.57	-1.26
OH-2	-2.60	-1.09	-0.59
C-3	0.08	-1.94	-1.31
H-3	0.02	-2.33	-2.02
O-3	-0.53	-2.80	-0.34
OH-3	-0.48	-3.80	-0.42
C-4	1.51	-1.59	-0.86
H-4	1.81	-2.46	-0.38
C-5	2.48	-1.28	-1.97
H-5	3.37	-0.73	-1.40
H-5'	2.98	-2.14	-2.36
O-5	1.95	-0.44	-3.00
OH-5	1.88	-1.15	-3.69
3'-Endo Deoxyribose			
C-1	0.00	0.00	0.00
H-1	-0.40	-0.53	0.96
O-1	1.37	-0.43	0.00
C-2	-0.68	-0.65	-1.21
H-2	-1.28	-1.47	-1.11
H-2'	-1.35	-0.16	-1.86
C-3	0.52	-1.08	-2.10
H-3	0.87	-0.28	-2.80
O-3	-0.24	-2.16	-2.96
OH-3	0.06	-3.00	-2.40
C-4	1.57	-1.37	-1.08
H-4	1.20	-2.36	-0.70
C-5	3.02	-1.26	-1.52
H-5	3.71	-1.64	-0.80
H-5'	3.28	-1.80	-2.39
O-5	3.27	0.09	-2.04
OH-5	4.06	0.23	-2.73

obtained by interchanging H and OH atoms and changing bond lengths. In solution, the positions of the hydroxyl groups of the furanose are not precisely known. In an effort to determine the influence of OH position on  $R_{OA}$ , we have rotated the OH groups about their individual CO axes for both adenosine and uridine. The effect on the calculated  $R_{OA}$  is small; the shape of the curve when  $R_{OA}$  is plotted vs. the glycosidic angle ( $\phi_{CN}$ ) is not significantly altered. Slight variation in magnitude of  $R_{OA}$  is found when the OH at the C-2'

Table III.<sup>a,b</sup> Monopoles for the B<sub>2U</sub> and B<sub>1U</sub> Transitions

Atom	Transition B <sub>2U</sub>			Transition B <sub>1U</sub>		
	SCF-CI <sup>c</sup>	SCF <sup>d</sup>	Bush-DeVoe <sup>e</sup>	SCF-CI	SCF	Bush-DeVoe
Adenine						
N-1	-0.178	0.035	-0.030	0.023	-0.064	
C-2	0.091	-0.072	-0.037	-0.063	-0.050	
N-3	-0.129	0.140	-0.107	0.087	-0.014	
C-4	-0.063	0.018	-0.291	-0.089	-0.051	
C-5	-0.018	0.032	0.126	0.088	0.060	
C-6	0.156	-0.114	0.232	-0.033	0.031	
N-7	0.046	-0.085	0.055	-0.020	0.009	
C-8	-0.180	0.134	-0.106	0.050	0.026	
N-9	0.069	0.031	0.112	0.034	0.023	
N-6	0.206	-0.117	0.046	-0.078	0.030	
Guanine						
N-1	0.051	0.041	-0.032	0.116	0.039	-0.044
C-2	0.100	0.101	0.030	-0.060	-0.013	-0.165
N-3	-0.101	-0.080	-0.050	0.097	0.081	0.075
C-4	0.090	0.060	-0.104	0.108	0.125	-0.151
C-5	-0.112	-0.083	-0.034	-0.045	-0.079	0.165
C-6	-0.013	-0.000	0.035	-0.008	0.007	0.003
N-7	0.009	0.003	0.043	-0.039	-0.063	-0.042
C-8	-0.060	-0.067	-0.291	0.207	0.099	0.127
N-9	-0.009	-0.010	0.249	-0.088	0.000	0.028
O-6	-0.003	-0.002	0.166	-0.243	-0.191	0.054
N-2	0.049	0.039	-0.008	-0.046	-0.005	-0.049
Cytosine						
N-1	-0.202	-0.135	-0.097	0.129	0.095	-0.007
C-2	-0.006	-0.029	0.005	0.011	0.004	0.012
N-3	0.311	0.256	-0.135	0.187	0.105	-0.043
C-4	-0.121	-0.112	0.070	-0.043	-0.043	0.039
C-5	0.167	0.079	0.044	0.021	0.175	-0.061
C-6	-0.156	-0.118	-0.032	0.000	0.047	-0.078
N-4	-0.117	-0.083	0.155	-0.215	-0.136	0.014
O-2	0.123	0.142	-0.011	-0.089	-0.011	-0.111
Uracil						
N-1	0.104	0.080	-0.090	-0.017	-0.085	0.008
C-2	-0.006	-0.001	-0.003	0.000	0.034	0.001
N-3	0.024	0.017	-0.006	0.151	0.292	-0.062
C-4	-0.017	0.030	-0.008	0.054	0.129	-0.021
C-5	-0.286	-0.185	0.262	0.012	0.132	0.001
C-6	0.237	0.179	0.235	0.008	-0.013	-0.017
O-4	-0.063	-0.136	0.086	-0.178	-0.365	-0.001
O-2	0.005	0.016	-0.005	-0.029	-0.124	0.091

<sup>a</sup> The numbering system of the bases is given in Figure 1. <sup>b</sup> The monopoles are scaled to experiments. <sup>c</sup> H. Berthod, C. Giessner-Prettre, and A. Pullman, *Int. J. Quantum Chem.*, **1**, 123 (1967). <sup>d</sup> H. Berthod, C. Giessner-Prettre, and A. Pullman, *Theoret. Chim. Acta*, **5**, 53 (1966). <sup>e</sup> Reference 17.

position is rotated; however, no noticeable change is observed in the case of C-3' and C-5'. This finding is consistent with our conclusion (section III) about the importance of configuration at the C-2' position.

**Glycosidic Rotation.** We have chosen the definition of glycosidic rotation by Donohue and Trueblood.<sup>16</sup> The sugar-base torsion angle  $\phi_{CN}$  is defined as the angle formed by the plane of the base and C-1'-O-1' bond of the furanose ring when viewed along the C(1)'-N bond.  $\phi_{CN}$  is taken as zero when C-2 of the base is antiperiplanar to O-1'. Positive rotation is clockwise rotation of C-1'-O-1' when one looks from C-1' to N. Donohue and Trueblood define "anti" conformation for  $\phi_{CN} = -30 \pm 45^\circ$  and "syn" for  $\phi_{CN} = +150 \pm 45^\circ$ .

**Transition Monopoles and Dipoles.** Three sets of monopoles for the various transitions of the four bases were used in the computation. We used monopoles described by Bush<sup>17</sup> and those calculated from self-consistent field with and without configuration interaction.<sup>18</sup> The monopoles obtained from Bush were

from an SCF-LCAO-CI calculation by DeVoe of the National Institutes of Health. His method was that of Viellard and Pullman<sup>19</sup> extended to the excited states. The monopoles selected by Bush were not just the lowest energy transitions but were chosen so that the transition moment directions were consistent with experimental directions, or what were inferred to be the most likely directions. Bush's procedure assumes the order of the excited states in energy may be incorrect. The magnitudes of all the monopoles were scaled to give the measured transition moment magnitudes. The scaled monopoles for the B<sub>2U</sub> and B<sub>1U</sub> transitions are given in Table III.

The base transition dipoles were calculated from the transition monopoles placed on each nucleus of the base. The point transition dipole is assumed to be at the center of transition charge of the base (Table IV).

The effect of different transition monopoles and the use of a self-consistent treatment of the furanose bond interactions are shown in Figure 2. One sees that the self-consistent theory for induced dipoles in the sugar (eq 11) gives very different results from eq 8. We use eq 11 for all the calculations discussed in this paper.

(16) J. Donohue and K. N. Trueblood, *J. Mol. Biol.*, **2**, 363 (1960).

(17) C. A. Bush, Ph.D. Thesis, University of California, Berkeley, 1965, p 62 ff.

(18) W. C. Johnson, private communication.

(19) A. Viellard and B. Pullman, *J. Theor. Biol.*, **4**, 37 (1963).

Table IV. Data for Transition Moments

Base	Monopoles	Transition energy, eV		Exptl transition moment magnitude <sup>a</sup>		Calcd transition Vector <sup>b</sup>		Transition center, <sup>c</sup> Å								
		Theory	Exptl <sup>a</sup>	eÅ	D	eÅ	X	Y								
Adenine	SCF-CI SCF Bush-DeVoe	B <sub>2U</sub>	4.77	0.813	3.90	+0.02i -0.14i -0.22i	+0.81j -0.80j +0.78j	1.24 1.02 0.96	3.65 3.47 3.10							
		B <sub>U1</sub>								5.17	0.350	1.68	-0.14i -0.34i	-0.32j +0.08j	1.21 1.41	3.26 3.47
		B <sub>2U</sub>														
	B <sub>1U</sub>	4.94	0.806	3.87	-0.21i +0.08i -0.80i	-0.78j -0.80j +0.07j	1.06 0.86 1.33	3.44 3.65 3.04								
	B <sub>2U</sub>								4.72	0.685	3.29	-0.09i -0.06i +0.05i	-0.68j -0.68j +0.68j	0.76 0.57 0.80	2.94 3.27 3.01	
	B <sub>1U</sub>															5.17
	B <sub>2U</sub>	4.57	0.632	3.04	-0.62i -0.63i +0.18i	+0.09j -0.04j +0.60j	-0.25 -0.47 -0.22	3.02 2.95 3.64								
	B <sub>1U</sub>								5.17	0.549	2.63	-0.00i -0.11i +0.40i	-0.55j -0.54j +0.38j	-0.62 -0.09 0.06	3.49 3.52 2.85	

<sup>a</sup> Resolved from spectra of Pabst Laboratories, Milwaukee, Wis. (Circular OR-10, 1967). <sup>b</sup> Transition moment vectors are scaled to experimental magnitude. i, j are unit directional vectors referring to X, Y axes defined in Table I. <sup>c</sup> Coordinates are defined in Table I.

Table V. Bond Polarizabilities (Å<sup>3</sup>)

Bond	$\alpha_{33}^a$	$\alpha_{11}^a$
	I <sup>b</sup>	
C-H	0.46	0.77
C-C	0.99	0.27
C-O	1.23	0.27
	II <sup>c</sup>	
C-H	0.8	0.6
C-C	1.85	0.02
	III <sup>d</sup>	
C-H	0.64	0.64
C-C	0.99	0.27
C-O	0.89	0.46
	IV <sup>e</sup>	
C-H	0.77	0.59
C-C	1.35	0.23

<sup>a</sup>  $\alpha_{33}$  is along the bond and  $\alpha_{11}$  is perpendicular to the bond. <sup>b</sup> See ref 20. <sup>c</sup> See ref 21. <sup>d</sup> R. J. W. Le Fevre, *Advan. Phys. Org. Chem.*, **3**, 1 (1965). <sup>e</sup> R. J. W. Le Fevre, B. J. Orr, and G. L. D. Ritchie, *J. Chem. Soc. B*, 273 (1966).

**Polarizabilities.** Bond polarizabilities have always been the subject of controversy. The merits of different measurements of polarizability have frequently been discussed, especially by users wanting to evaluate optical activity.<sup>4,8,20</sup> Because of the uncertainties in the bond polarizabilities, the agreement between experiment and the result of any calculation which is sensitive to the values of bond polarizability should be examined critically.

Different sets of values of bond polarizabilities have been published (Table V). These values include those

(20) C. Houssier and K. Sauer, *J. Amer. Chem. Soc.*, **92**, 779 (1970).

which have been proven satisfactory for other workers,<sup>10</sup> and those existing in the current literature. The rotational strength of uridine resulting from

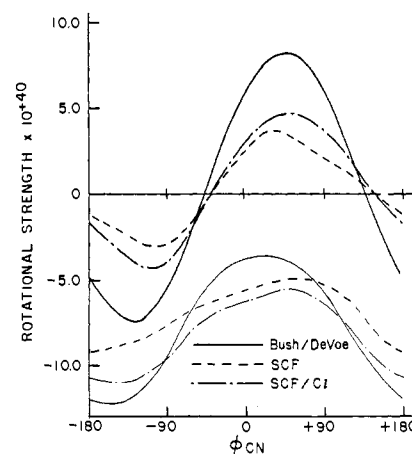


Figure 2. The effect of different transition monopoles and the self-consistent treatment of furanose bonds on  $R(B_{2U})$  vs.  $\phi_{CN}$  of uridine. The top three curves are self-consistently treated as in eq 11. The bottom three curves are without the self-consistent furanose treatment (eq 8).

different combinations of possible values of bond polarizabilities, using the SCF-CI monopoles, is shown in Figure 3. The calculated  $R_{OA}$  is more sensitive to C-O and O-H bond polarizabilities than C-C C-H bonds. The same conclusion is obtained with

Table VI. Calculated Rotational Strengths for Different Monopoles and Furanose Configurations and Conformations<sup>a</sup>

Compound	Exptl $R_{OA} \times 10^{40}$ esu	Monopoles	Theor $R_{OA} \times 10^{40}$ esu			
			$B_{2U}$		$B_{1U}$	
			Anti	Syn	Anti	Syn
Adenosine	$-2(B_{2U} + B_{1U})$	SCF-CI	-2	+3	+0	+0
		SCF	-2	+3	+2	-4
		Bush-DeVoe	+8	-8		
Guanosine	$-0(B_{2U})$ $-1(B_{1U})$	SCF-CI	+2	-4	-2	+3
		SCF	+2	-4	-2	+2
		Bush-DeVoe	-7	+7	+8	-16
Uridine	$+9(B_{2U})$ $-4(B_{1U})$	SCF-CI	+1	+0	+0	-0
		SCF	+1	+0	-2	+2
		Bush-DeVoe	+2	-1	+0	-1
Cytidine	$+12(B_{2U})$ $-6(B_{1U})$	SCF-CI	+27	-31	-7	+7
		SCF	+19	-24	-5	+5
		Bush-DeVoe	-6	+6	-2	+0
2'-Deoxy A 2' endo 3' endo	$-1(B_{2U} + B_{1U})$	SCF-CI	-2	+4		
		SCF-CI	-7	+0		
2'-Deoxy G 2' endo 3' endo	$-0(B_{2U})$ $-0(B_{1U})$	SCF-CI	+1	-5		
		SCF-CI	+5	-0		
2'-Deoxy U 2' endo 3' endo	$+3(B_{2U})$	SCF-CI	+5	+2		
		SCF-CI	+3	+1		
2'-Deoxy C 2' endo 3' endo	$+(B_{2U})$ $-(B_{1U})$	SCF-CI	+24	-34		
		SCF-CI	+35	+0		

<sup>a</sup> The four ribonucleosides are in the 2'-endo conformation.

the other two sets of monopoles. This is unfortunate because the bond polarizabilities for C-O and O-H bonds are the least well known. For all the computations, unless otherwise stated, we have used Le Fevre's<sup>21</sup>

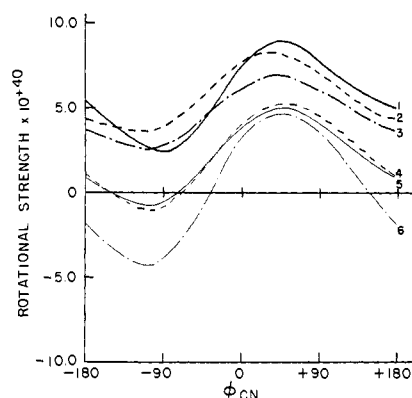


Figure 3. Calculated rotational strengths of  $B_{2U}$  transitions of uridine as a function of  $\phi_{CN}$  using different values of polarizabilities. The various sets of polarizabilities are given in Table V: (1) set I, treating OH equivalent to CH; (2) set III, treating OH equivalent to CH; (3) set II, approximating OH by  $\alpha_{33} = 0.8$ ,  $\alpha_{11} = 0.6$ ; (4) set IV, treating OH equivalent to CH and CO equivalent to CC; (5) set I, approximating OH by 0.8, 0.6; (6) set II, treating OH equivalent to CH and CO equivalent to CC.

bond polarizabilities (set II in Table V) and treated carbon and oxygen equivalently (curve 6 in Figure 3).

### III. Results and Discussion

Results of the calculations are given in Tables VI, VII, and VIII, and Figure 4. The rotational strengths of the

(21) C. G. Le Fevre and R. J. Le Fevre, *Rev. Pure Appl. Chem.*, **5**, 261 (1955).

Table VII. Comparison of Calculated and Experimental Rotational Strengths for Different Anomeric Adenosines

Compd	$\lambda_{max}, m\mu$	Exptl max molar ellipticities <sup>a</sup>	$-R_{OA}(B_{2U}) \times 10^{40}$ esu		
			Exptl <sup>a,b</sup>	Theor <sup>d</sup>	
			Anti	Syn	
$\alpha$ -Lyx	260	3750	2.7 <sup>c</sup>	3	-7
$\beta$ -Lyx	256	-3560	-2.7	-2	6
$\alpha$ -Ribo	256	5410	4.4	3	-6
$\beta$ -Ribo	265	-2970	-2.5 <sup>c</sup>	-2	3
$\alpha$ -Ara	258	3570	2.8	1	-6
$\beta$ -Ara	258	-5380	-4.1	-2	4
$\alpha$ -Xyl	258	6960	6.0	5	-7
$\beta$ -Xyl	259	-2450	-2.0	-3	5

<sup>a</sup> The experimental results are from Ingwall (personal communication). Molar ellipticities are in units of  $\text{deg l.}/(\text{mol cm})$ . <sup>b</sup> The rotational strengths are evaluated by fitting the spectrum by gaussian curves on a DuPont curve resolver and computed using the formula,  $R_i = 1.23 \times 10^{-42} (\theta_i \Delta_i / \lambda_i)$  where  $\Delta_i$  is the half-width of the resolved gaussian. <sup>c</sup> Single gaussian is not possible. Composite gaussian curves are used. <sup>d</sup> SCF-CI monopoles used.

Table VIII. Calculated  $R_{OA}(B_{2U})$  of Nucleosides<sup>a</sup> with Glycosidic Angle  $\phi_{CN}$  Present in Polynucleotides

Base	$R_{OA}(B_{2U}) \times 10^{40}$ esu		
	$\phi_{CN}^b = -11^\circ$ (RNA-11)	$-14^\circ$ (DNA-A)	$-86^\circ$ (DNA-B)
A	-3	-3	+5
G	+1	+1	-1
U (T)	+2	+6	+1
C	+31	+32	-25

<sup>a</sup> SCF-CI monopoles and the 2'-endo conformation for ribose and deoxyribose were used. <sup>b</sup>  $\phi_{CN}$ 's are from M. Sundaralingam, *Biopolymers*, **7**, 821 (1969).

$B_{2U}$  and  $B_{1U}$  transitions were calculated using eq 11 for each of the four nucleosides (Table VI). The effect of the 2'-endo and 3'-endo furanose conformation is also

included. From the results, it can be seen that the rotational strength calculation depends critically on the choice of wave functions. However, the rotational strengths from the SCF-CI and SCF monopoles nearly always agree in sign and magnitude with each other. Quantitative comparison with experiment is difficult, because of the uncertainty in assignment and resolution of the CD spectrum into bands. In Table VI the experimental rotational strengths are given to one significant figure, or the sign is simply given. The calculated values are shown for two conformations: anti ( $\phi_{CN} = -30^\circ$ ) and syn ( $\phi_{CN} = +150^\circ$ ). The experimental value, of course, represents an average value over the conformations actually present in solution. With SCF-CI or SCF monopoles the signs of the calculated rotational strengths for the first two transitions are consistent with three of the four mononucleosides in the anti conformation. Calculations for guanosine are not consistent with experiment for either syn or anti conformations.

Figure 4 shows what values of the glycosidic angle give correct results for the calculated signs of the  $B_{2U}$  and  $B_{1U}$  rotational strengths. For uridine and cytidine, about half the possible range of angles ( $+150^\circ > \phi_{CN} > -30^\circ$ ) give the correct sign for both  $B_{2U}$  and  $B_{1U}$ . For adenosine only the anti range ( $-10^\circ > \phi_{CN} > -90^\circ$ ) is consistent with the signs of the rotational strengths. For guanosine a very small overlap occurs ( $\phi_{CN} \simeq -100^\circ$ ) between values of  $\phi_{CN}$  which give the correct sign for both  $B_{2U}$  and  $B_{1U}$  rotational strengths. This probably indicates that guanosine does not exist mainly in one conformation, but instead, includes a wide range of conformations. There is some evidence that the conformation of guanosine depends on pH, as might be expected for a conformationally mobile molecule.

One way of testing this idea further is to calculate an average rotational strength by weighting each calculated rotational strength at angle  $\phi_{CN}$  by the probability of finding the molecule with this value of  $\phi_{CN}$ . We used a probability distribution for the glycosidic bond ( $\phi_{CN}$ ), which we had estimated earlier,<sup>22</sup> to obtain an average rotational strength for the  $B_{2U}$  transitions for each mononucleoside. Although magnitudes were changed, no signs changed, so a positive  $B_{2U}$  rotational strength was still obtained for guanosine in disagreement with experiment.

The temperature dependence of the rotational strengths was also calculated. This gives an increase in rotational strengths with decreasing temperature as expected. It also gives the correct order of magnitude change<sup>13</sup> as the temperature is lowered from  $+90^\circ$  to  $-70^\circ$ . For cytidine there is a calculated 3% increase, for adenosine a calculated 30% increase.

In Table VII the results for the anomeric cis-trans isomers of adenosine are given. The calculated results for the anti conformation agree well with experiment. The agreement between theory and experiment is excellent when one considers the  $\alpha$  and  $\beta$  pairs of the different isomers. The calculation gives not only the correct sign for each one of the pairs, but also provides the correct relative magnitudes. We see that experimentally the sign of the CD for the anomeric adenosine

(22) I. Tinoco, Jr., R. C. Davis, and S. R. Jaskunas, "Molecular Association in Biology," B. Pullman, Ed., Academic Press, New York, N. Y., 1968, p 77.

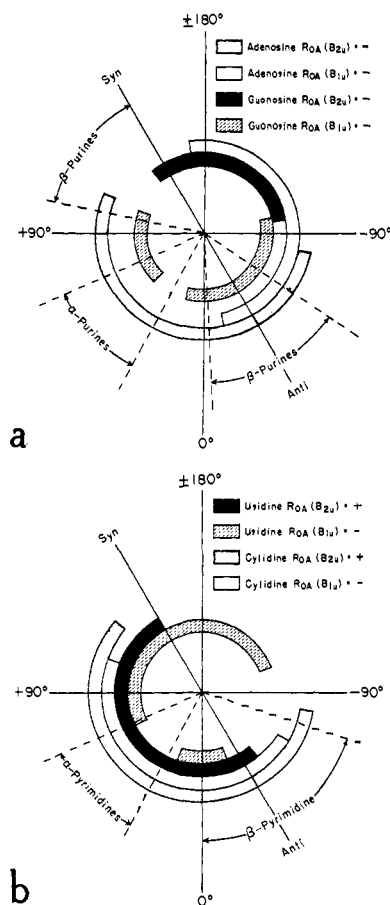


Figure 4. Glycosidic angle ( $\phi_{CN}$ ) and calculated  $\alpha, \beta$  sign of the rotational strength. The range of angles labeled  $\alpha, \beta$ -purines and -pyrimidines shown is that found in crystals by X-ray scattering (see Sundaralingam, Table VIII, footnote b). The rotational strengths were calculated with SCF-CI monopoles: (a) purines, the measured signs of the rotational strengths are minus for both  $B_{2U}$  and  $B_{1U}$  transitions of adenosine and guanosine; (b) pyrimidines, the measured signs are plus for the  $B_{2U}$  transitions and minus for the  $B_{1U}$  transitions of uridine and cytidine.

depends on the configuration at C-1':  $\alpha$  gives a positive CD at high wavelength,  $\beta$  gives a negative CD. The good agreement with the calculated rotational strengths is strong evidence that all eight of these molecules are primarily in the anti conformation.

We also carried out calculations on uracil with different sugars. Experimentally,<sup>23</sup> it was found that the rotational strengths of  $\beta$ -D-ara-U and  $\beta$ -D-lyx-U were similar and approximately twice that of  $\beta$ -D-rib-U. The  $R_{OA}$  calculated for these compounds are  $+10 \times 10^{-40}$ ,  $+11 \times 10^{-40}$ , and  $+2 \times 10^{-40}$  for ara-, lyx-, and rib-U, respectively. The Bush-Devoe monopoles were used and anti conformation was assumed.

We have found that a configuration change at the C-2' position of the furanose alters the glycosidic angular dependence of  $R_{OA}$  more significantly than that at the C-3' position. The calculated  $R_{OA}$  vs.  $\phi_{CN}$  for ribo and xyl compounds are similar in curve shape and positions of maximum, minimum, and zero crossing, whereas the same holds for ara and lyx compounds.

(23) T. Nishimura, B. Shimizu, and I. Iwai, *Biochim. Biophys. Acta*, 157, 221 (1968).

Experimentally, it was found that the configuration at C-2' profoundly affects the magnitude of the Cotton effect.<sup>8,22</sup> It was reported previously<sup>24</sup> that a cis-oriented hydroxyl group at C-2' interacts with the base and that cis nucleosides gave a Cotton effect larger in magnitude than the trans anomers. It was found that<sup>23</sup> the amplitudes of the Cotton effect of  $\alpha$ -D-rib-U and  $\alpha$ -D-UMP are larger than their  $\beta$  anomers; however, the magnitudes of  $\alpha$ -lyx nucleosides give smaller Cotton effects compared to their  $\beta$  anomers. Examination of molecular models suggests that the C-1'-C-2' trans configuration allows the base to rotate more freely about the glycosidic bond. By inspection of the  $R_{OA}$  vs.  $\phi_{CN}$  curve, it can be seen that a range of allowed values of  $\phi_{CN}$  always results in decreasing the amplitude of a trans compound.

The main reason for beginning this study was to estimate the contribution of base-sugar interactions to the CD of polynucleotides. Table VIII shows the cal-

(24) T. L. V. Ulbricht, T. R. Emerson, and R. J. Swan, *Biochem. Biophys. Res. Commun.*, **22**, 505 (1966).

culated  $B_{U_2}$  rotational strengths for nucleosides with the glycosidic angle found in different double-stranded nucleic acids: A-form DNA,<sup>25</sup> B-form DNA,<sup>26</sup> and RNA-11.<sup>27</sup> One sees that in B-form DNA the calculated rotational strengths are very different from those of A-DNA, or anti mononucleosides. This means one cannot ignore base-sugar interactions in understanding the CD of double-stranded nucleic acids. The CD of the mononucleoside in solution may be very different from its contribution to the CD of the nucleic acid.

**Acknowledgments.** We wish to thank Dr. W. Curtis Johnson, Jr., for many helpful discussions, and Dr. A. M. Bobst for making some of the calculations. We would also like to express our gratitude to Dr. Joan Ingwall for kindly communicating to us her unpublished results.

(25) W. Fuller, M. H. F. Wilkins, R. H. Wilson, and L. D. Hamilton, *J. Mol. Biol.*, **12**, 60 (1965).

(26) R. Langridge, D. A. Marvin, W. E. Seeds, H. R. Wilson, C. W. Hooper, M. H. F. Wilkins, and L. D. Hamilton, *ibid.*, **2**, 38 (1960).

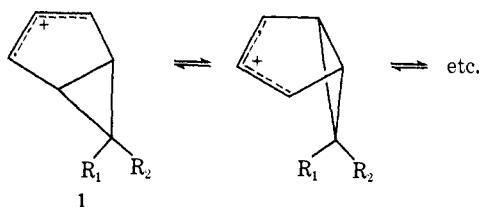
(27) S. Arnott, M. H. F. Wilkins, W. Fuller, and R. Langridge, *ibid.*, **27**, 535 (1967).

## Communications to the Editor

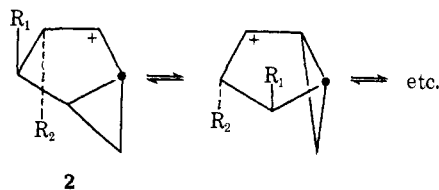
### A New Degenerate Cyclopropylcarbinyl Cation?

Sir:

The fivefold degeneracy of the bicyclo[3.1.0]hex-3-en-2-yl cation **1** is now firmly established.<sup>1,2a</sup> We wish



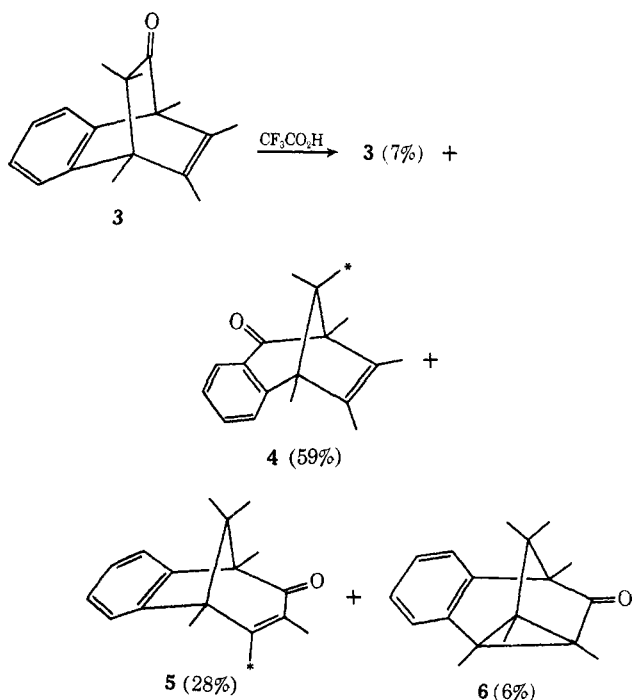
to describe here a remarkable experimental observation, and suggest that the results may be rationalized by a new degenerate carbonium ion rearrangement involving ions of the type **2**.<sup>2a,b</sup>



(1) D. W. Swatton and H. Hart, *J. Amer. Chem. Soc.*, **89**, 5075 (1967); R. F. Childs and S. Winstein, *ibid.*, **90**, 7146 (1968); H. Hart, T. R. Rodgers, and J. Griffiths, *ibid.*, **91**, 754 (1969); V. A. Koptuyug, L. I. Kuzubora, I. S. Isaev, and V. I. Mamatyuk, *Chem. Commun.*, 389 (1969).

(2) (a) For a review of degenerate carbonium ions, see R. E. Leone and P. v. R. Schleyer, *Angew. Chem., Int. Ed. Engl.*, **9**, 860 (1970). For pertinent references on cyclopropylcarbinyl-cyclopropylcarbinyl rearrangements, see Z. Majerski and P. v. R. Schleyer, *J. Amer. Chem. Soc.*, **93**, 665 (1971). (b) The black dot is used only as a marker, to indicate the fixed positions of the cyclopentane ring carbons relative to the positions of the substituents.

We recently described<sup>3</sup> the acid-catalyzed isomerization of the  $\beta,\gamma$ -unsaturated ketone **3** to a mixture of the isomeric ketones **3-6**. The same equilibrium mixture



was obtained from each of the four ketones. As part of a mechanistic study of this rearrangement, ketone **4** was treated with a large excess of  $CF_3CO_2D$  at  $60^\circ$ , at which temperature proton-deuteron exchange in the

(3) H. Hart and G. M. Love, *Tetrahedron Lett.*, 2267 (1971).